

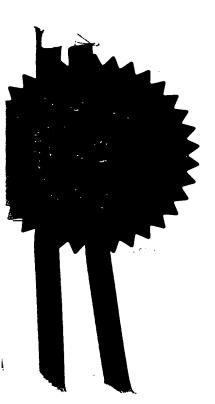
PRIORITY DOCUMENT

I, the undersigned, being an officer duly authorised in accordance with Section 62(3) of the Patents and Designs Act 1907, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before reregistration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

M.SMIT.

Dated

THIS PAGE BLANK (USPTO)



Your Reference:

9508237.6

Notes

or write in dark ink Plea^r AL letters. A usin\ prescribed fee is payable for a request for grant of a patent. For details, please contact the Patent Office (telephone 071-483 4700).

The

Request for grant of a

Patent

Patent

Office

Form 1/77

Patents Act 1977

Rule 16 of the Patents Rules 1990 is the main rule governing the completion and filing of this form.

> Do not give trading styles, for example, 'Trading as XYZ company', nationality or former names, for example, formerly (known as) ABC Ltd as these are not required.

Title of invention

1 Please give the title of the invention

-- PHARMACEUTICALS

Ø Applicant's details

First or only applicant

If you are applying as a corporate body please give: Corporate Name SMITHKLINE BEECHAM PLC

Country (and State

UNITED KINGDOM

of incorporation, if appropriate)

If you are applying as an individual or one of a partnership please give in full:

Surname

Forenames

In all cases, please given the following details:

Address:

SB HOUSE **BRENTFORD MIDDLESEX**

UK postcode

TW8 9BD

(if applicable)

ENGLAND

Country ADP number

(if known)

91223001

Warning

After an application for a Patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977 and will inform the applicant if such prohibition or restriction is necessary. Applicants resident in the United Kingdom are also reminded that under Section 23, applications may not be filed abroad without written permission unless an application has been filed not less than 6 weeks previously in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction revoked.

2d, 2e and 2f: If there are further applicants please provide details on a separate sheet of paper	Second appli 2d If you are applyi Corporate Name	ing as a corporate body please give:			
	Country (and State of Incorporation, if appropriate)				
•	2e If you are applying as an individual or one of a partnership please give in fun.				
	Surname:				
	Forenames:				
	2f In all cases, please give the following details:				
	Address:				
	UK postcode (if applicable) Country ADP number (if known)				
An address for service in the	Address for service details				
United Kingdom must be supplied	3a Have you appointed an agent to deal with your application?				
Please mark correct box.	Yes 🔀	No 🔲 🗢 go to 3b			
	U				
	please give details below				
	Agent's name Agent's address:	TOCHER, P. CORPORATE INTELL PROPERTY SMITHKLINE BEECHAM PLC SB HOUSE BRENTFORD MIDDLESEX			
·	Postcode Agent's ADP number	TW89BD 06556740002			
3b: If you have appointed an agent, all correspondence	3b If you have not appointed an agent please give a name and address in the United Kingdom to which all correspondence will be sent:				
concerning your application will be sent to					
the agent's United Kingdom address.	Address				
	Postcode ADP number (if known)	Daytime telephone number (if available)			

Reference number	P31158					
4. Agent's or applicant's reference number (if applicable)						
 Claiming an earlier application date Are you claiming that this application be treated as having been filed on the date of filing of an earlier application? 						
Yes 🔲	No 🗷 🗢 go to 6					
U please give details	below					
number of earlier application or pat number						
☐ filing date	(day month year)					
and the Section of	and the Section of the Patents Act 1977 under which you are claiming:					
15(4) (Divisional)	8(3) 12(6)	37(4)				
6 Declaration of p	riority					
6. If you are declaring	6. If you are declaring priority from previous application(s), please give:					
Country of Filing	Priority application number (if known)	Filing Date (day, month, year)				

P. rk correct box

Please mark correct box

If you are declaring priority from a PCT Application please enter 'PCT' as the country and enter the country code (for example, GB) as part of the application number

Please give the date in all number format, for example, 31/05/90 for 31 May 1990

·-

e manue Partie Partie

.....;

. . . .

...

•	Inventorship					
● any applicant is not an inventor re is an inventor who is not • an applicant, or • any applicant is a corporate body.	7. Are you (the applicant or applicants) the sole inventor or the joint inventors? Please mark correct box Yes No A Statement 1 Inventorship on Patents					
ш, чр	form 7/77 will need to be filed (see Rule 15).).					
3 Please supply duplicates of claim(s), abstract, description and drawings).	8a Please fill in the number of sheets for each of the following types of document contained in this application					
	Continuation sheets for this Patents Form 1/77					
	Claim(s) Description 10					
	Abstract Drawing(s)					
	8b Which of the following documents also accompanies the application?					
	Priority documents (please state how many)					
	Translation(s) of Priority documents (please state how many)					
Please mark correct box(es)	Patents Form 7/77 - Statement of Inventorship and Right to Grant					
	Patents Form 9/77 - Preliminary Examination Report					
	Patents Form 10/77 - Request for Substantive Examination					
You or your appointed agent (see Rule 90 of the Patents Rules 1990) must sign this request.	I/We request the grant of a patent on the basis of this application.					
Please sign here 🗪	Signed Jake Date: 24 04 1995 P. TOCHER (day month year)					
A completed fee sheet should preferably accompany the fee.	Please return the completed form, attachments and duplicates where requested, together with the prescribed fee to either;					
	☐ The Comptroller or ☐ The Comptroller The Patent Office The Patent Office Cardiff Road 25 Southampton Buildings Newport London Gwent WC2A 1AY					
	NP9 1RH					

. ...

:

PHARMACEUTICALS

This invention relates to treatment of human immunodeficiency virus (HIV) and hepatitis B virus (HBV) infection.

When used herein, 'treatment' includes prophylaxis as appropriate.

EP-A-141927 (Beecham Group p.l.c.) discloses penciclovir, the compound of formula (A):

10 (A)

and salts, phosphate esters and acyl derivatives thereof, as antiviral agents. The sodium salt hydrate of penciclovir is disclosed in EP-A-216459 (Beecham Group p.l.c.). Penciclovir and its antiviral activity is also disclosed in Abstract P.V11-5 p.193 of 'Abstracts of 14th Int. Congress of Microbiology', Manchester, England 7-13 September 1986 (Boyd et. al.).

Orally active bioprecursors of the compound of formula (A) are of formula (B):

20

15

(B)

5

10

15

20

and salts and derivatives thereof as defined under formula (A); wherein X is C_{1-6} alkoxy, NH₂ or hydrogen. The compounds of formula (B) wherein X is C_{1-6} alkoxy or NH₂ are disclosed in EP-A-141927 and the compounds of formula (B) wherein X is hydrogen, disclosed in EP-A-182024 (Beecham Group p.l.c.) are preferred prodrugs. A particularly preferred example of a compound of formula (B) is that wherein X is hydrogen and wherein the two OH groups are in the form of the acetyl derivative, described in Example 2 of EP-A-182024, hereinafter referred to as famciclovir.

EP-A-388049 (Beecham Group p.l.c.), discloses the use of penciclovir/famciclovir in the treatment of hepatitis B virus infection.

The antiviral activity against hepatitis B virus appears to be dependent on intracellular formation of PCV-triphosphate (PCV). The triphosphate derivative of penciclovir inhibits the RNA-directed DNA polymerase (reverse transcriptase) activity of human immunodeficiency virus type 1 (HIV-1). The reverse transcriptase of HIV-1 is a virus-encoded enzyme essential for the conversion of genomic RNA into proviral ds-DNA.

It has now been shown that the (R)-enantiomer of PCV-TP is more active than the (S)-enantiomer in respect of inhibition of HBV DNA polymerases and in respect of inhibition of HIV-1 reverse transcriptase.

Accordingly, the present invention provides a method of treatment of:

- i) HIV-1 infections in mammals, including humans, which mammals are infected with herpesviruses; or
- ii) HBV infections in mammals, including humans;
 which method comprises the administration to the human in need of such treatment,
 an effective amount of the (R)-enantiomer of the triphosphate of a compound of formula (A):

(A)

30

or a pharmaceutically acceptable salt thereof.

10

15

20

25

30

The (R)-PCV-TP is administered in the form of a compound which is a bioprecusor to allow absorption and penetration through the cell wall. Selectivity for the virus infected cell, especially HIV infected cells, can be achieved by selecting a bioprecursor which is activated preferentially by the virally encoded protease.

The compound may be administered by the oral route to humans and may be compounded in the form of syrup, tablets or capsule. When in the form of a tablet, any pharmaceutical carrier suitable for formulating such solid compositions may be used, for example magnesium stearate, starch, lactose, glucose, rice, flour and chalk. The compound may also be in the form of an ingestible capsule, for example of gelatin, to contain the compound, or in the form of a syrup, a solution or a suspension. Suitable liquid pharmaceutical carriers include ethyl alcohol, glycerine, saline and water to which flavouring or colouring agents may be added to form syrups. Sustained release formulations, for example tablets containing an enteric coating, are also envisaged.

For parenteral administration, fluid unit dose forms are prepared containing the compound and a sterile vehicle. The compound depending on the vehicle and the concentration, can be either suspended or dissolved. Parenteral solutions are normally prepared by dissolving the compound in a vehicle and filter sterilising before filling into a suitable vial or ampoule and sealing. Advantageously, adjuvants such as a local anaesthetic, preservatives and buffering agents are also dissolved in the vehicle. To enhance the stability, the composition can be frozen after filling into the vial and the water removed under vacuum.

* 45 B

2

CZ?

- tq

Parenteral suspensions are prepared in substantially the same manner except that the compound is suspended in the vehicle instead of being dissolved and sterilised by exposure to ethylene oxide before suspending in the sterile vehicle. Advantageously, a surfactant or wetting agent is included in the composition to facilitate uniform distribution of the compound of the invention.

As is common practice, the compositions will usually be accompanied by written or printed directions for use in the medical treatment concerned.

A suitable dosage unit might contain from 50mg to 1g of active ingredient, for example 100 to 500mg. Such doses may be administered 1 to 4 times a day or more usually 2 or 3 times a day. The effective dose of compound will, in general, be in the range of from 0.2 to 40mg per kilogram of body weight per day or, more usually, 10 to 20 mg/kg per day.

5

20

The present invention also provides the use of the (R)-enantiomer of the triphosphate of a compound of formula (A) in the preparation of a medicament for use in the treatment of:

- i) HIV-1 infections in mammals, including humans, which mammals are infected with herpesviruses; or
- ii) HBV infections in mammals, including humans.
 Such treatment may be carried out in the manner as hereinbefore described.
 The present invention further provides a pharmaceutical composition for use in the treatment of:
- i) HIV-1 infections in mammals, including humans, which mammals are infected with herpesviruses; or
 - ii) HBV infections in mammals, including humans; which comprises an effective amount of the (R)-enantiomer of the triphosphate of a compound of formula (A), and a pharmaceutically acceptable carrier.
- Such compositions may be prepared in the manner as hereinafter described.

 The biological data describing the activity of (R)-PCV-TP is described by Shaw et al, Zoulim et al and Schinazi et al in 'Antiviral Research' 1995, Supplement 1. A photocopy of material to be included in this Supplement is attached to the present specification.

WHAT IS CLAIMED IS:

25 The subject matter of the invention described herein in all aspects and embodiments.

Preferential Inhibition of Human Hepatitis B Virus (HBV) DNA Polymerase by the (R)- Enantiomer of Penciclovir Triphosphate. SU SAN MOK, TIM SHAW and STEPHEN LOCARNINI. Victorian Infectious Diseases Reference Laboratory, Fairfield Hospital, Fairfield, 3078, Australia.

Penciclovir (PCV), a deoxyguanosine analogue, potent antiviral activity against herpes- and hepadnaviruses. Efficacy against chronic HBV has been demonstrated in recent clinical trials of famciclovir, the oral form of PCV. Antiviral activity dependent intracellular on formation of triphosphate (PCV-TP). In both control and HBV-transfected liver cells in vitro, PCV-TP concentrations of about 0.04 µM were achieved, indicating cellular phosphorylation of PCV. The (S)enantiomer of PCV-TP is preferentially formed in herpesvirusinfected cells and is the more active against HSV and VZV. By contrast, we found (R)-PCV-TP to be the more potent inhibitor of HBV DNA polymerases in vitro. In standard HBV DNA polymerase assays, the Km for dGTP was 0.09 µM and the Kis for (R)- and (S)- Pcv-TP were 0.03 µM and 0.04 µM respectively. Corresponding IC50's for each enantiomer in the presence of 0.01 μM dGTP were 2.5 μM and 11 μM, compared to 10 μM for acyclovir-TP, These data suggest differences between herpesand hepadnaviral polymerases and provide a mechanistic basis for the potent activity of PCV against HBV polymerase.

Abstract Title

35th ICAAC, San Francisco, Calif rnia

Official Abstract Form

forms are available from the ASM Meetings Department. Type the title (initial capitals only) first, then list all authors (all capital letters), with an asterisk for the person delivering the paper; and then list institutions and short addresses (do not give departments, divisions, buildings, etc.). Each abstract must be accompanied by an abstract acknowledgment card (inserted in this brochure). Only one abstract submission per flat envelope. TYPE THAT IS SMAILER THAN 10 POINTS IS NOT ACCEPTABLE.

TYPE THAT IS SMAILER THAN 10 PC	DINTS IS NOT ACCEPTABLE.	, and a second partial curves,			
Category Subject category: From the list of subjects on p. 5, choose the most appropriate description of the paper's content and enter the letter in the box above. 1. Check here if you are a fellow or student and interested in being considered for an ASM travel grant (must be an ASM member and be the presenting author to be eligible; letter of nomination from department chair or mentor must be enclosed; see page 6 for details). 2. Complete checklist and sign back page before submitting abstract. Abstracts sub-	Start Inhibitory Effect of Penciclovir on the Priming Hepadnavirus Reverse Transcription. F. ZOULIM*, E. DANNAOUI, C. TREPO. INSERM U271, Lyon, France. Famciclovir, the oral form of penciclovir (PCV), has been shown to have an inhibitory effect on hepatitis B virus (HBV) replication in chronically infected humans and in animal models. We used an in vitro translation reaction for the expression of an enzymatically active Duck HBV reverse transcriptase to characterize the mechanism of action of PCV. Using this system, we have already demonstrated that DHBV DNA synthesis is initiated by the formation of a covalent bond between the polymerase and dGMP, followed by the addition of T-A-A in a template dependent manner (J.Virol. 68: 6-13). Several acyclic guanosine analogs were tested for their efficacy to inhibit the priming of DHBV reverse transcription in an in vitro assay. We found that acyclovir-TP (ACV), R-PCV-TP, S-PCV-TP but also ddG-TP and 2'-carboxydcoxyguanosine (2'-CDG) could inhibit reproducibely minus strand DNA synthesis at				
mitted via facsimile will not be accepted by the Program Committee.	different extent. Interestingly, R-PCV-	TP was more efficient than S-PCV-			
3. Mail abstract to:	TP in inhibiting DHBV reverse transthese compounds against the incorpora	tion of the first nucleotide of minus			
ICAAC Abstracts	strand DNA, dGMP, was similar to that	t observed with minus strand DNA			
American Society for Microbiology	elongation. Both ACV-TP and R-P(CV-TP inhibited dramatically the			
1325 Massachusens Avenue, NW	incorporation of dATP whereas 2'-C	DG which was the most efficient			
Washington, DC 20005-4171	competitor of dGMP incorporation did	not.			
4. Full name and professional mailing address of the author who will present the paper (must be typed). This is the address to which your abstract notification will be sent.	We demonstrated that PCV-TP inhibits in a chirally dependent manner, by in DNA primer. Our data obtained wit activity of the DHBV polymerase proviof action of PCV on HBV replication.	thibiting the synthesis of the short h the inhibition of the enzymatic			
Fire Name	MI Last Name	Muniles Number			
Address		Telephone			
Address		Fax			
City	State Zip Code Country				

To aid the appropriate scheduling of abstracts into sessions and the compilation of the program's subject index, please provide the following information: List 3 key words or suggested session titles for this abstract (highest priority first). Try to select words in common usage such as those used in Medline and Index Medicus.

Taken from draft munuscript by Zordin.

III. RESULTS

1) An in vitr assay for the expression of an enzymatically active DHBV te transcriptase

We had previously shown that the DHBV polymerase expressed in vitro in a reticulocyte lysate is enzymatically active if the template for the initiation of RT, ie E, is provided during the translation of the viral enzyme. In figure 2, we show that, when the RNA sequence A which contains E, DR1 and the 5' flanking region, is coexpressed in trans, the efficiency of reverse transcription was enhanced by approximately 50%. A similar increase of enzymatic activity was observed on both the priming reaction and DNA chain elongation.

2) Inhibitory effect of pyrophosphate analogs on the DHBV reverse transcriptase

Pyrophosphate analogs have been shown to be potent inhibitors of DNA polymerases as well as of reverse transcriptases (1). We have studied the inhibitory effect of PAA (phosphono acetic acid) and that of PFA (phosphonoformic acid) on the DHBV reverse transcription. As shown in figure 3, PAA did not inhibit minus strand DNA synthesis even at high concentration (1mM). By contrast, PFA showed a very potent inhibitory effect on DNA chain elongation but not on the priming reaction, at a concentration of 1 mM. This PFA concentration has been previously used to block DHBV reverse transcription in tissue culture cells (4).

3) Inhibitory effect of dGTP analogs on the elongation of minus strand DNA (reverse transcription)

We have compared the inhibitory activity of dGTP analogs on the clongation of minus strand DNA (see figure 4). Extended DNA chain is covalently linked to the viral polymerase. This allows for its study through 0.1% SDS-10% polyacrylamide gels. Viral DNA synthesis was

analyzed by the incorporation of dNTPs (dATP, TTP, dCTP) and radiolabelled α -32P-dGTP. The level of α -32P-dGTP incorporation was also measured by a dot assay on DE-81 filters. As shown in figure 4, the incorporation of α -32P-dGTP in the presence of increasing concentrations of ACV-TP, R-PCV-TP, S-PCV-TP, ddG-TP and CDG-TP was reproducibly inhibited. At a concentration of 100 μ M, ddG-TP, ACV-TP and R-PCV-TP showed an almost complete inhibition effect (> 75 %). S-PCV-TP was the less active compound and very high concentrations (1 mM) were needed to achieve a significant inhibition of reverse transcription (> 75 %). CDG was the most active compound since the same order of inhibition could be obtained at a concentration of 10 μ M. The IC50 of ACV-TP, R-PCV-TP, ddG and CDG-TP was approximately 7 uM, 8 uM, 20 uM and < 1 uM, respectively. CDG was the most efficient compound followed by ACV and PCV. R-PCV was more efficient than S-PCV.

4) Effect of dGTP analogs on the incorporation of the first nucleotide of minus strand DNA, dGTP

In this experiment, we tested the inhibitory effect of this different analogs on DNA-priming (ie, incorporation of the first nucleotide of minus strand DNA, dGTP). The DHBV polymerase was incubated only with 32P-dGTP (0.15 µM final concentration, 3000 Ci/mmole). ACV, R-PCV, S-PCV, ddG and CDG were tested in the same range of concentrations. Results were in agreement with that obtained with viral DNA chain extension (figure 5).

ACV and R-PCV did inhibit the incorporation of the first nucleotide, dGTP. The IC50 of these two compounds was approximately 20 uM which was higher than that obtained with the DNA chain elongation. Again R-PCV was more effective on the priming reaction than S-PCV which had an IC50 higher than 1 mM. ddG was more efficient on the priming reaction than on DNA chain elongation since the IC50 for the priming reaction was approximately 1 uM. CDG was the most potent inhibitor of dGTP incorporation, since the inhibition was almost complete at a concentration of $10 \, \mu M$.

الإينا لا تان

ICAR Poster by R. Schinage.

Inhibition of Viral Enzymes by PCVTP

inhibitor of HIV-1 reverse transcriptase (RT) and that (R)-PCVTP was at least 20-fold more potent than the corresponding (S)-enantiomer using an RNA-dependent template with HIV RT (Figure 2 and Table 1). Using a DNA template, the enantiomers were essentially inactive when tested up to 10 μM. In contrast, using an M13mp18(+) strand DNA template, chain termination was observed at 10 μM. Both the (R)- and (S)-PCVTP inhibited DNA elongation, but it appears that the (R)-enantiomer is less selective (in addition to stops at G bases, stops at other bases were observed). Of interest was the finding of more G stops in a 130 base stretch with either PCVTP enantiomers and ddGTP than with ACVTP. As anticipated, chain termination only occurred at G bases with both ACVTP and ddGTP.

•					-			
6/51 HIV-1 RT	IC50±5.D., µМ	> 10	> 10	> 10	<u>Q</u>	1.7	0.021	N O
Recombinant p66/51 HIV-1 RT	rcact IC ₅₀ ±S.D., μΜ	2.7 ±1.6	0.91 ± 0.76	8.3 ± 4.5	> 10	0.060 ± 0.026	0.025 ± 0.037	9.4±3.2
HSV-2/Cell Derivedb	Activated DINA IC50±5.D., μΜ	26.7			23.6	0.051	ΩZ	ND
Recombinant HSV-1a	Activated DNA IC50 ± S.D., μΜ	15.5			54.2	0.14 ± 0.11	51.5 ± 31.9	8.9
	Compound	Racemic PCVTP	(R)-PCVTP	(S)-PCVTP	(S)-PCVTP HSV-infected cell derived	ACVTP	ddGTP	PFA

CMethodology as in 9, except 100 µ! reaction mixture: 1 unit enzyme, 100 µM Tris pH 8.0, 50 mM KCI, 2 mM MgCl2, 5 mM DTT, 0.05 U/ml (rA)n (dT)12-18. 1 µM 755 pl reaction mixture (1 unit enzyme, 50 mM Tris, pH 8.0, 150 mM KCI, 5 mM MgCl2, 0.5 mM DTT, 0.5 mg/ml BSA, 0.1 mM each dATP, dCTP, dTTP, 0.2 mg/ml activated DNA, 1 µM 3H-dGTP, 8 Ci/mmol) incubated 60 min. at 37°C, stopped with 5% TCA/0.05% sodium pyrophosphate, harvested onto glass fiber sheets using Packard Filtermate 196 harvester and counted using Packard Matrix 9600 direct beta counter. bMethodology as in a, except 3H-dGTP activity: 42 Ci/mmole.

 3 H-dGTP, 8 Ci/mmole dMethydology as in c, except template-primer: 0.05 U/ml (dC) $_{
m h}$.(dG)12-18